THE FINANCIAL SERVICES ROUNDTABLE

Financing America's Economy

RECEIVED

海姆 MAY 30 AM II: 54

FEC MAIL CENTER

1001 PENNSYLVANIA AVE., NW SUITE 500 SOUTH WASHINGTON, DC 20004 TEL 202-289-4322 FAX 202-628-2507

SCOTT TALBOTT
SENIOR VICE PRESIDENT
OF PUBLIC POLICY
E-Mail scott@fsround.org
www.fsround.org

Sarah Juris Campaign Finance Analyst Reports Analysis Division Federal Election Commission Washington, D.C. 20463

Ref: FEC-RAD2013330228298 / FEC Committee ID: C00193177, 2012 June Monthly Report (5/01/2012 – 5/31/2012)

Dear Ms Juris:

May 22, 2013

This is in response to your request for additional information regarding the June Monthly Report filed for the 5/01/2012 - 5/31/2012 period.

<u>What Happened</u>: Schedule B of the 2012 May Monthly Report disclosed \$6,000 in activity identified as MEMO entries that did not correspond with itemized transactions.

What Should Have Happened: The report should not have disclosed the 3 MEMO entries.

What We Did To Correct Report: We contacted the PAC software vendor, Aristotle 1-888-217-9600, and it was determined that the software had glitched and reflected the MEMO activity on the report erroneously. The error was corrected and an amended report for 2012 June Monthly Report to FEC-790368 has been filed to reflect the correct reporting.

Should you have any additional questions regarding this matter, please do not hesitate to contact me at 202.589.2416.

Sincerely,

Scott Talbott

Treasurer

Financial Services Roundtable PAC

From:

Federal Election Commission-(RAD) <rad@fec.gov>

Sent:

Tuesday, April 30, 2013 12:01 PM

To:

Brenda Bewen

Subject:

Important: Request for Additional Information from the Federal Election Commission



Federal Election Commission

APR 30, 2013

Dear Treasurer/Filer,

This e-mail is being sent by the Reports Analysis Division (RAD) of the Federal Election Commission to request additional information on a report(s) or statement filed by your committee or organization. Please note that this is an automated e-mail; any response to this request for information should not be made via e-mail, as your response will not be accepted. To view the Request for Additional Information (RFAI), simply click <a href="https://example.com/here-en-mail-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negative-negati

If the above link does not work, you can access the letter by copying and pasting this address into the address field of your browser: http://fec.gov/fecletter/getLetter.do?a=1&b=13330030109&c=RAD2013330228298

To ensure delivery, add rad@fec.gov to your address book.

To update your e-mail address, please file an amended Statement of Organization (FEC Form 1).

If you would prefer to receive RFAIs on paper, please file a miscellaneous document (Form 99 for electronic filers), to indicate this preference.

If you would like to view all of your filings, simply click <u>here</u>.

For filing information, please go to http://www.fec.gov/info/filing.shtml

If you have any questions, please contact your Campaign Finance Analyst on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

Federal Election Commission (FEC)

This email was sent to: BRENDA@FSROUND.ORG

Your Committee ID at FEC: C00193177

FEC-RAD2013330228298

This e-mail id intended only for the use of the committee/filer designated as recipient.



RQ-2

April 29, 2013

MR. SCOTT E. TALBOTT, TREASURER FINANCIAL SERVICES ROUNDTABLE PAC 1001 PENNSYLVANIA AVENUE NW, SUITE 500 SOUTH WASHINGTON, DC 20004-2502

Response Due Date 06/03/2013

IDENTIFICATION NUMBER: C00193177

REFERENCE: JUNE MONTHLY REPORT (05/01/2012 - 05/31/2012)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. Failure to adequately respond by the response date noted above could result in an audit or enforcement action. Additional information is needed for the following 1 item(s):

- Schedule B supporting Line 23 of your report discloses \$6,000 in activity identified as MEMO entries that do not appear to correspond with any itemized transactions. Please be advised, a memo entry is used to disclose additional information about an itemized transaction and the amount of a memo entry is not included in the total receipts or disbursements for the report. Please amend your report to provide clarifying information regarding this activity. (11 C.F.R. § 104.3(a) and (b))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. Requests for extensions of time in which to respond will not be considered.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the

FINANCIAL SERVICES ROUNDTABLE PAC

Page 2 of 2

Reports Analysis Division) or my local number (202) 694-1175.

Sincerely,

Sarah guris

Sarah Juris Campaign Finance Analyst Reports Analysis Division

324

Federal Election Commission ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS The FEC added this page to the end of this filing to indicate how it was received. Date of Receipt **Hand Delivered** Postmarked, **USPS First Class Mail** Postmarked (R/C) **USPS** Registered/Certified Postmarked **USPS Priority Mail** Delivery Confirmation™ or Signature Confirmation™ Label Postmarked **USPS Express Mail** Postmark Illegible No Postmark **Shipping Date** Overnight Delivery Service (Specify): Next Business Day Delivery **Date of Receipt** Received from House Records & Registration Office **Date of Receipt** Received from Senate Public Records Office Date of Receipt Received from Electronic Filing Office Date of Receipt or Postmarked Other (Specify): DATE PREPARED PREPARER

(3/2005)